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Patent Application Transmittal  
(only for new nonprovisional applications under 37 C.F.R. 1.53(b))  
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Attorney Docket No.: 450117-02428

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Box Patent Application  
Washington, D.C. 20231

Sir:

With reference to the filing in the United States Patent and Trademark Office  
of an application for patent in the name(s) of:

Ralf BÖHNKE, Thomas DÖLLE, Tino KONSCHAK

entitled:

RECEIVING APPARATUS AND SYNCHRONISING METHOD FOR A DIGITAL TELECOMMUNICATION  
SYSTEM

The following are enclosed:

- ☒ Specification ( 20 pages)  
☒ 6 Sheet(s) of Drawings  
☒ 26 Claim(s) (including 2 independent claim(s))  
☐ This application contains a multiple dependent claim

- ☒ Our check for \$ 798.00, calculated on the basis of the claims as  
amended by any enclosed preliminary amendment as follows:

Basic Fee, \$690.00 (\$345.00)	\$ 690.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each: 6	108.00
Number of Independent Claims in excess of 3 at \$78.00 (\$39.00) each:	-0-
Multiple Dependent Claim Fee at \$260.00 (\$130.00)	-0-
Total Filing Fee	\$ 798.00
Assignment Recording Fee \$40.00	-0-

- ☒ Oath or Declaration and Power of Attorney  
☒ New ☐ signed ☒ unsigned  
☐ Copy from a prior application (37 C.F.R. 1.63(d))

- ☐ Certified copy of each of the following application(s) to substantiate  
the claim(s) for priority made in the Declaration:

<u>Application No.</u>	<u>Filed</u>	<u>In</u>
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Please charge any additional fees required for the filing of this  
application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By William S. Frommer  
William S. Frommer  
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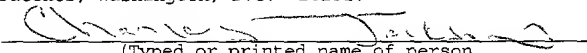
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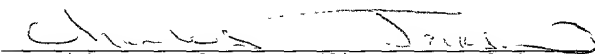
Applicants : Ralf BÖHNKE et al.  
Filed : Herewith  
For : RECEIVING APPARATUS AND SYNCHRONISING METHOD FOR  
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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Before the issuance of the first Official Action,  
please amend the above-identified application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Claim 3, line 2, delete "or 2";

Claim 4, line 2, delete "2 or 3,";

Claim 7, line 2, delete "or 6";

Claim 10, line 2, delete "one of the claims 4 to 9" and  
insert --claim 4--;

Claim 16, line 2, delete "or 15";

Claim 17, line 2, delete "15 or 16,";

Claim 20, line 2, delete "or 19";


Claim 23, line 2, delete "one of the claims 17 to 22"  
and insert --claim 17--.

REMARKS

The claims have been amended to eliminate multiple  
dependencies. The filing fee has been calculated based upon  
these amendments to the claims.

Respectfully submitted,

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PATENT  
450117-02428

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR LETTERS PATENT

TITLE: RECEIVING APPARATUS AND SYNCHRONISING  
METHOD FOR A DIGITAL TELECOMMUNICATION  
SYSTEM

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**Receiving apparatus and synchronising method for a digital  
telecommunication system**

10 The present invention relates to a receiving apparatus for receiving signals in a digital telecommunication system and to a synchronising method for synchronising such a receiving apparatus. Particularly, the receiving apparatus and the synchronising method of the present invention use a cross correlation mechanism to achieve accurate time and frequency synchronisation.

15

Digital telecommunication systems generally need a synchronisation of a transmitting side and a receiving side. The transmitting side and the receiving side can e. g. be base stations and mobile stations of a telecommunication system, whereby the synchronisation of the timing and the frequency of transmitted signals is usually  
20 performed in the mobile station. To achieve a synchronisation, it is known to transmit a special training sequence or a reference symbol. Such a reference symbol is usually embedded in the transmission data structure and regularly sent so that a synchronisation can be performed regularly.

25 In figure 1, a general structure of a receiving apparatus is shown. The receiving apparatus can e. g. be a mobile station of a wireless digital telecommunication system. Although the present invention essentially relates to the receiving part of a

telecommunication terminal, it is to be understood, that the receiving part or receiving apparatus of the present invention can also be a or part of a receiving and transmitting terminal.

- 5 The receiving apparatus 1 shown in figure 1 comprises an antenna 2 for receiving signals from a transmitting side, e. g. a base station of a wireless digital telecommunication system. The received signals 2 are supplied to a HF means (High Frequency means) 3, which downconverts the received high frequency signals into the base band. The downconverted signals are supplied to a IQ-demodulation means, where  
10 they are demodulated and supplied to a synchronising means 5.

- The synchronising means performs time and frequency synchronisation using a received training sequence or reference symbol, as stated above. Using the synchronisation information of the synchronising means 5, the received user data signals are further  
15 processed in the receiving apparatus 1, e. g. decoded by a decoding means 6 and so on, to be made available in visible or audible form for a user. Usually the synchronisation in the synchronising means 5 is performed in the time domain.

- Generally speaking, the synchronising means 5 performs a time domain correlation  
20 between the reference symbol (or parts of the reference symbol) and a delayed version of the received reference symbol (or parts of the reference symbol) to identify the reference symbol (or parts of the reference symbol) and thus to determine the timing for the synchronisation. Thereby, a correlation peak is calculated, which should correspond as accurate as possible to the time point of the last sample of the reference symbol.

In order to achieve a well detectable correlation peak, the reference symbol usually consists of a plurality of synchronisation patterns, which are repeated several times within one reference symbol period. The synchronisation patterns usually have the same shape or form and are thus called repetition patterns throughout the present application. A reference symbol therefore contains several repetition patterns, whereby each repetition pattern consists of a plurality of samples. Each repetition pattern has the same number of samples. Between the reference symbol and the adjacent user data symbols, guard intervals can be inserted to avoid intersymbol interference in a multipath environment of the telecommunication system.

The time domain correlation of the received reference symbol in the receiving apparatus 1 can be achieved e. g. on the basis of an auto correlation mechanism or a cross correlation mechanism. An auto correlation mechanism thereby does not require any knowledge about the reference symbol on the receiver side, whereby a cross correlation mechanism requires exact knowledge about the reference symbol to be received on the receiver side. As stated above, the present invention particularly relates to a receiving apparatus and a synchronising method which use a cross correlation mechanism.

A known cross correlation means 7 is shown in figure 2. The cross correlation means 7 cross correlates incoming signals  $y(i)$ , e. g. coming from the IQ demodulation means 4, within a cross correlation window of a length 16. The cross correlation window length 16 means that the incoming digital signal  $y(i)$  is cross correlated sample by sample on the basis of a length of 16 samples. The cross correlation window length of 16 samples can thereby correspond to the length of a repetition pattern of the reference symbol. In

figure 3, a reference symbol comprising 9 repetition patterns is shown, whereby one repetition pattern can comprise 16 samples. The receiving apparatus 1 knows exactly the structure of the reference symbol to be received. A complex conjugated version of an expected repetition pattern is stored in the synchronising means 5 and cross correlated to the received signals.

The cross correlation means 7 of figure 2, which has a cross correlation window length of 16, comprises 15 delay means 8 arranged serially. The first delay means delays the incoming complex signal  $y(i)$  by one sample, which corresponds to multiplication with a factor  $z^{-1}$ . The second delay means delays the output of the first delay means again by 1 sample and so on. Further, the cross correlation means 7 comprises 16 multiplication means 9 and a sum means 10. The delay means 8, the multiplication means 9 and the sum means 10 are arranged so that an incoming signal having a length of 16 samples is cross correlated with a complex conjugated version of the samples of a repetition pattern. The complex conjugated samples of the expected repetition pattern are e. g. stored in the synchronising means of the receiver and read out respectively to the multiplication means 9. E. g. a first received sample  $y(0)$  is multiplied with a complex conjugated version of the first sample of the expected repetition pattern, i. e.  $y^*(0) = s_0^*$ . The next received sample  $y(1)$  is multiplied with  $y^*(1) = s_1^*$  and so forth. The sum means 10 adds up all the results from the multiplication means 9, so that an output signal  $r(i)$  is obtained. The output signal  $r(i)$  of the sum means 10 is supplied to an absolute value calculating means 11 which calculates the absolute value of  $r(i)$  to detect a cross correlation peak. The cross correlation means 7 and the absolute value calculating means 11 shown in figure 2 can be comprised in the synchronising means 5 of the receiving apparatus 1 shown in figure 1.

In figure 3, the cross correlation peak detection performed by the cross correlation means 7 and the absolute value calculating means 11 shown in figure 2 is explained. Figure 3 shows three different phases of a cross correlation calculation of an incoming signal. In phase 1, the correlation window 13 of the cross correlation means 7 is located on received user data, which means that only user data are cross correlated. The user data are indicated by "???...". Thus, no cross correlation peak is detected. In phase 2, the correlation window 13 is exactly matching with the eighth repetition pattern S7 of the reference symbol 12, so that a corresponding cross correlation peak is detected. In phase 3, the cross correlation window 13 is again cross correlating user data "???...", so that no cross correlation peak is detected.

The reference symbol 12 shown in figure 3 comprises 9 repetition patterns S0, S1, ..., S8, which have identical shapes. Each of the repetition patterns comprises e. g. 16 samples, which corresponds to the cross correlation window length 16 of the cross correlation means 7 in figure 2. Of course, the number of repetition patterns in the reference symbol 12 and the number of samples in each repetition pattern can be changed and adopted to the respective application.

As stated above, the cross correlation mechanism requires exact knowledge on the reference symbol to be received on the receiving side. This means, that the receiving apparatus needs to know exactly the structure and number of repetition patterns to be able to recognise the last cross correlation peak, which serves for a time and frequency synchronisation. On the other hand, if one of the cross correlation peaks is not properly detected, the synchronisation fails. In mobile communication environments, in which

multipath fading degrades the correlation peak detection performance, the synchronisation performance in a known receiving apparatus of the telecommunication system is thus significantly lowered.

5 The object of the present invention is therefore to provide a receiving apparatus for receiving signals in a digital telecommunication and a synchronising method for synchronising a receiving apparatus in a digital telecommunication system, which provide an improved synchronisation performance and accuracy.

10 This object is achieved by a receiving apparatus according to claim 1 and a synchronising method according to claim 14.

The receiving apparatus for receiving signals in a digital telecommunication system according to claim 1 comprises receiving means for receiving a reference symbol  
15 comprising at least two repetition patterns, whereby one of said at least two repetition patterns is phase shifted in relation to the other repetition pattern, and synchronising means for synchronising the receiving apparatus in the digital telecommunication system using said received reference symbol, whereby said synchronising means comprises a cross correlation means for cross correlating at least one of said two repetition patterns  
20 within a cross correlation window having a predetermined length.

The synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 14 comprises the steps of receiving a reference symbol comprising at least two repetition patterns, whereby one of said at  
25 least two repetition patterns is phase shifted in relation to the other repetition pattern,

and synchronising the receiving apparatus in the digital telecommunication system using said received reference symbol, whereby at least one of said two repetition patterns is cross correlated within a cross correlation window having a predetermined length.

- 5 The receiving apparatus and the synchronising method of the present invention thereby provide an improved cross correlation performance for time and frequency synchronisation, particularly accurate information on the synchronisation timing. The present invention is especially efficient for synchronisation in a mobile telecommunication environment in which multipath fading degrades the synchronisation
- 10 performance and accuracy. The receiving apparatus and synchronising method of the present invention are applicable to single carrier systems as well as multicarrier systems, e. g. OFDM (Orthogonal Frequency Division Multiplexing) systems.

- Advantageously, said at least two repetition patterns are the last two repetition patterns
- 15 in the reference symbol. It is to be noted, that the synchronising mechanism of the present invention only requires two repetition patterns. Since the two repetition patterns are phase shifted in relation to each other, accurate information on the synchronisation time point can be achieved by observing the cross correlation peak and the relative phase of the cross correlation peak. However, more than two repetition patterns
- 20 enhance the performance. Advantageously, the phase shifted repetition pattern is phase shifted by  $180^\circ$  in relation to the other repetition pattern. Thereby a very accurate and reliable phase detection is possible.

- Advantageously, the phase change information of the two repetition patterns in the
- 25 reference symbol is used in the synchronising means to detect a cross correlation peak

which indicates the position of the later one of said repetition patterns. The correlation peak information is calculated using the phase change information of the two repetition patterns, which allows an accurate and reliable detection of the correlation peak position and thus the synchronisation time point.

5

According to one aspect of the present invention, the cross correlation means has a cross correlation window length corresponding to the length of one repetition pattern, whereby an output signal of the cross correlation means is supplied to a detection means for detecting the cross correlation peak. In case that the repetition patterns of the used reference symbol respectively have a length of 16 samples, the cross correlation window length is also set to 16 samples so that the cross correlation means is matched to a single repetition pattern. Hereby, the detection means advantageously comprises a delay means for delaying the output signal of the cross correlation means by one repetition pattern length and a subtraction means for subtracting the output signal of the delay means from the output signal of the cross correlation means. Further advantageously, an averaging means can be comprised for smoothening the output signal of the detection means. According to this one aspect of the present invention, a received complex data signal corresponding to the length of one repetition pattern is cross correlated in the cross correlation means and compared in the detection means to the respective succeeding data signal having a length of one repetition pattern. Thus, two repetition patterns are cross correlated one after the other and are then compared to detect a cross correlation peak using the corresponding phase change information.

According to another aspect of the present invention, the cross correlation means has a cross correlation window length corresponding to the length of two repetition patterns

for detecting the position of the cross correlation peak. The cross correlation means of the further aspect of the present invention thus directly cross correlates received data signals on the basis of the length of two repetition patterns, which leads to a more complex structure of the cross correlation means, but enables a more effective and sophisticated synchronising mechanism. In the cross correlation means according to the further aspect, the stored positive and the negative conjugation of the expected repetition pattern can be used for detecting the position of the cross correlation peak.

In both aspects of the present invention it is advantageous, if the output signal of the cross correlation means or the detection means is supplied to a peak threshold detection means and a gap detection means, whereby the cross correlation peak detected by the cross correlation means is confirmed or not on the basis of the detection results of the peak threshold detection means and the gap detection means. In case of the first aspect of the present invention described above, the signal supplied to the peak threshold detection means and the gap detection means is the output signal of the detection means.

Advantageously, the peak threshold detection means detects if the output signal of the cross correlation or the detection means exceeds a predetermined cross correlation peak threshold and the gap detection means detects if the output signal of the cross correlation or the detection means has been below a predetermined gap before the detected cross correlation peak. Hereby, the output signal of the cross correlation or the detection means can be delayed in a delay means before being supplied to said gap detection means. Alternatively, the gap detection means can additionally detect if the output signal of the cross correlation or the detection means has been below the predetermined gap threshold during a predetermined gap time.

Using the peak threshold detection means and the gap detection means, additional criteria are checked to increase the synchronisation performance and reliability.

- 5 The present invention is explained in detail in the following description by means of preferred embodiments relating to the enclosed drawings, in which

figure 1 shows the general structure of a receiving apparatus of a digital telecommunication system,

10

figure 2 shows a known cross correlation means and absolute value calculation means for detecting a cross correlation peak,

15

figure 3 shows the cross correlation peak detection performed by the cross correlation structure of figure 2,

figure 4 shows the structure of a reference symbol used for synchronisation according to the present invention,

20

figure 5 shows the cross correlation peak detection using the reference symbol shown in figure 4,

25

figure 6 shows a cross correlation means and a detection means for detecting cross correlation peaks and respective phase information on the basis of a reference symbol as shown in figure 4,

figure 7 shows a cross correlation means and another detection means for detecting a single cross correlation peak on the basis of a reference symbol as shown in figure 4,

5

figure 8 shows a synchronisation result of the cross correlation means and the detection means of figure 7,

figure 9 shows a further embodiment of the detection means of figure 6,

10

figure 10 shows a simulation result of the cross correlation means and the detection means of figure 9,

figure 11 shows a further embodiment of a cross correlation means according to the present invention together with an absolute value calculation means,

15

figure 12 shows a simulation result of the cross correlation means and the absolute value calculation means shown in figure 11 for detecting a cross correlation peak,

20

figure 13 shows a further embodiment of a synchronising structure according to the present invention comprising a cross correlation means according to the present invention and a peak threshold detection means and a gap detection means, and

figure 14 shows an alternative structure to the embodiment shown in figure 13.

Figure 4 shows the structure of a reference symbol 14 as example for a reference symbol structure to be used according to the present invention. The reference symbol 14 of figure 4 comprises 9 repetition patterns  $S_0, S_1, \dots, S_8$ . Each repetition pattern has a length of 16 samples  $s_0, s_1, \dots, s_{15}$ . Thereby, the last repetition pattern  $S_8$  is phase-shifted by 180 degrees in relation to the other repetition patterns, which means a multiplication by  $(-1)$ . Thus, the last repetition pattern  $S_8$  comprises 15 samples  $-s_0, -s_1, \dots, -s_{15}$ . All repetition patterns of the reference symbol 14 have the same shape, whereby the last repetition pattern  $S_8$  is phase-inverted by 180 degrees. It is to be noted, that the reference symbol 14 can have more or less than 9 repetition patterns and that each repetition pattern can have more or less than 16 samples.

In figure 5, the reference symbol 14 is shown to be embedded in a user data sequence. The user data are indicated by "???...". Figure 5 shows three different phases of cross correlating a received signal having a reference symbol 14, in which the last repetition pattern  $S_8$  is phase-inverted by 180°. Relating to the receiving apparatus 1 shown in figure 1, the data sequence of the three phases shown in figure 5 are for example supplied from the IQ demodulation means 4 to the synchronising means 5, whereby the synchronising means 5 is e. g. constructed as shown in figure 6. In phase 1, the cross correlation window 15 cross correlates only user data, so that no cross correlation peak is detected. In phase 2, the 8th repetition pattern  $S_7$  of the reference symbol 14 is matched by the correlation window 15, so that a cross correlation peak is detected. The relative phase of the cross correlation peak of the 8th repetition pattern  $S_7$  is also

detected to be "+". Since the 9th repetition pattern S8 is phase-inverted by  $180^\circ$  in relation to the 8th repetition pattern S7, the cross correlation peak detected for the 9th repetition pattern S8 has the relative phase "-" in relation to the phase of the 8th repetition pattern S7. The repetition patterns S0, S1...S6 preceding the two last  
 5 repetition patterns S7 and S8 have a relative phase "+".

In phase 3 of figure 5, only user data are cross correlated in the cross correlation window 15, so that no cross correlation peak is detected. As can be seen in figure 5, by using a reference symbol structure like the one shown in figure 4, in which one of the  
 10 repetition patterns is phase-inverted in relation to at least one of the other repetition patterns in the reference symbol, a relative phase information can be obtained additional to the cross correlation peak information. This phase information provides additional information on the position of the last correlation peak in the reference symbol and thus a more accurate and reliable synchronisation information.

15 In figure 6, a cross correlation means 16 and a detection means 19 are shown, which can be implemented in a first embodiment of a synchronising means 5 of a receiving apparatus 1 of the present invention, the general structure of which is shown in Figure 1. The structure of the cross correlation means 16 is identical to the structure of the  
 20 cross correlation means 7 shown in figure 2, so that a detailed explanation is omitted. The cross correlation means 16 comprises 15 delay means 17 and 16 multiplication means 18 as well as a sum means for adding the outputs of the multiplication means 18. The cross correlation window length of the cross correlation means 16 corresponds to the length of one repetition pattern, which is e. g. 16 samples. A received data stream  
 25 of 16 samples is cross correlated with complex conjugated samples of an expected

repetition pattern stored in the receiving apparatus 1. The output signal  $r(i)$  of the sum means, i.e. the output signal of the cross correlation means 16 is supplied to a detection means 19 for detecting the magnitude and the phase of the signal  $r(i)$  and therefore the exact position of the cross correlation peak of the last repetition pattern S8 of the reference symbol 14 can be detected (cf. figure 5).

Figure 7 shows another arrangement of the detection means. The cross correlation means 16 of figure 7 corresponds to the cross correlation means 16 of figure 6. In the example shown in figure 7, the detection means comprises a delay means 20 for delaying the output signal  $r(i)$  of the cross correlation means 16 by one repetition pattern length, which is e. g. 16 samples. The detection means 19 further comprises a subtraction means 21 for subtracting the output signal  $s(i)$  of the delay means 20 from the output signal  $r(i)$  of the cross correlation means 16. The output signal  $z(i) = r(i) - s(i)$  of the subtraction means 21 is supplied to an absolute value calculation means 22, which calculates the absolute value of  $z(i)$ . It is to be noted, that  $y(i)$ ,  $r(i)$ ,  $s(i)$ ,  $z(i)$  are complex values so that the magnitude and the phase information is contained in  $z(i)$ . If it is assumed, that  $r(i)$  is in the part of the reference symbol, in which the phase of the repetition patterns is not phase-shifted, for example in the part S0,...S7 of the reference symbol 14 shown in Figure 4, then  $s(i) = r(i-16) = r(i) \cdot e^{j\varphi} \Rightarrow z_1(i) = r(i) - s(i) = r(i)(1 - e^{j\varphi})$ .

If it is assumed, that  $r(i)$  matches with the phase-inverted repetition pattern S8 of the reference symbol 14, then  $s(i) = r(i-16) = -r(i) \cdot e^{j\varphi} \Rightarrow z_2(i) = r(i) - s(i) = r(i)(1 + e^{j\varphi})$ .

It can be seen that the absolute value of  $z(i)$  is enhanced if  $r(i)$  matches with the phase-shifted repetition pattern S8. The phase value  $\varphi$  has nothing to do with the phase shift between the repetition pattern S7 and S8, but results from a possible frequency offset between the transmitter side and the receiver side. Considering the detection range of the phase change introduced by the reference symbol structure according to the present invention under the influence of a frequency offset between the transmitter and the receiver, the following result is obtained:  $z_1(i)/z_2(i) = -j \cdot \cot(\varphi/2)$ . Thus, for a non-ambiguous detection the absolute value of  $\varphi$  has to be smaller than  $\pi$ , whereby the phase value  $\varphi$  is the product between the frequency offset and the duration  $T_p$  of one repetition pattern,  $\varphi = 2\pi f_{\text{offset}} T_p$ .

In figure 8, a simulation result for the absolute value of  $z(i)$  as the output signal of the structure shown in figure 7 is shown. For the reference symbol 14 comprising 9 repetition patterns, whereby each repetition pattern consists of 16 samples, and whereby the phase of the last repetition pattern S8 is inverted in relation to the phase of the other repetition patterns, the cross correlation peak is expected to be at the last sample, i.e. the time point corresponding to the last sample, of the last repetition pattern S8. As can be seen in figure 8, the cross correlation peak is located at sample 144, which is the correct value. Thus, the cross correlation means 16 and the detection means 19 shown in figure 6 and in figure 7 enable a correct and efficient detection of the cross correlation peak.

In figure 9, the cross correlation means 16 and another embodiment of the detection means of figure 7 are shown. Thereby, the structure shown in figure 9 corresponds to the structure shown in figure 7, whereby the output of the absolute value calculating

means 22 is supplied to an averaging means 23 for smoothening the absolute value of  $z(i)$  output from the means 22. The structure shown in figure 9 is particularly advantageous in severe noise and fading environments. The averaging means 23 advantageously is a moving average filter having a filter length corresponding to the  
 5 length of one repetition pattern, which is for example 16 samples as shown in figure 4. The cross correlation structures shown in figure 7 and 9 can e. g. be implemented in the synchronising means 5 of the receiving apparatus 1 shown in figure 1.

Figure 10 shows a simulation result for the averaged absolute value of  $z(i)$  as the output  
 10 signal of the structure shown in figure 9. The detection of the last repetition pattern having an inverted phase as shown in figure 4 can be seen in the transition between sample 128 and sample 144.

In figure 11, a second embodiment of a cross correlation means 24 is shown, which can  
 15 be implemented in a synchronising means 5 of a receiving apparatus 1 of the present invention, a general structure of which is e. g. shown in figure 1.

The cross correlation means 24 essentially has the same structure as the cross correlation means 16 shown in figure 6 and the cross correlation means 7 shown in  
 20 figure 2. The main difference is, that the cross correlation means 24 shown in figure 11 has a cross correlation window length of two repetition patterns, which in the shown example corresponds to 32 samples, when the structure of the reference symbol shown in figure 4 is assumed. Thereby, the cross correlation means 24 comprises 31 delay means 25, which are arranged serially and respectively cause a delay of one sample.  
 25 Further, the cross correlation means 24 comprises 32 multiplication means, which

multiply the respective (delayed) samples of the received signal  $y(i)$  with stored positive and negative complex conjugated values of the samples of the expected repetition pattern. Thereby, e. g. the first sample entering the cross correlation means 24 is multiplied with the first complex conjugated sample  $s_0^*$  of the expected repetition pattern. The same is true for the rest of the samples entering the cross correlation means 24, which are respectively multiplied with the rest of the stored (positive) complex conjugated samples  $s_1^*$  to  $s_{15}^*$ . The second 16 samples entering the cross correlation means 24 are respectively multiplied with the stored negative complex conjugated samples  $-s_0^*$  to  $-s_{15}^*$  of the expected repetition pattern. Hereby, e. g. the first sample entering the means 24 is multiplied with the negative value of the complex conjugated first sample of the expected repetition pattern  $-s_0^*$ . The same is true for the rest of the second 16 samples entering the means 24 which are respectively multiplied with the negative values of the complex conjugated values, namely  $-s_1^*$  to  $-s_{15}^*$ . It is to be noted, that the values  $s_0, s_1, \dots, s_{15}$  of the repetition patterns  $S_0, S_1, \dots, S_8$ , of the reference symbol 14 shown in figure 4 are respectively the same. In other words, all the repetition patterns  $S_0, S_1, \dots, S_8$  of the reference symbol 14 of figure 4 have the same shape, except that the last repetition pattern  $S_8$  has an inverted phase.

The outputs of the multiplication means 26 of the cross correlation means 24 are added up in a sum means 27, which generate an output signal  $z(i)$ . The output signal  $z(i)$  of the sum means 27 is supplied to an absolute value calculation means 28, which calculates the absolute value of  $z(i)$ . The output signal of the absolute value calculation means 28 therefore provides information on the magnitude as well as on the phase of the data signals, which are cross correlated by the cross correlation means 24.

A simulation result for the output of the absolute value calculation means 28 of the structure shown in figure 11 is shown in figure 12. In this case, a reference symbol similar to the reference symbol 14 shown in figure 4 had been used, but only with 6 repetition patterns, whereby each repetition pattern consists of 16 samples. The phase of the last repetition pattern is shifted by  $180^\circ$  in relation to the other preceding repetition patterns. Thus, the position of the last sample of the last repetition pattern is expected to be at sample position number 96, which is clearly visible in the simulation result shown in figure 12. Figure 12 shows clearly, that the output signal has a maximum exactly when a correct overlapping between the two repetition patterns processed in the cross correlation means 24 is achieved.

Figure 13 shows an extended structure for increasing the reliability and accuracy of the output signal of the absolute value calculation means 22 of the structure shown in figure 7, the averaging means 23 of the structure shown in figure 9 or the absolute value calculation means 28 of the structure shown in figure 11. In the improved structure shown in figure 13, the respective output signal of the cross correlation means 24 or the detection means 19, which is the absolute value of  $z(i)$ , is supplied to a peak threshold detection means 29 and a gap detection means 30. The peak threshold detection means 29 detects if the absolute value of  $z(i)$  exceeds a predetermined cross correlation peak threshold. The gap detection means 30 detects if the absolute value of  $z(i)$  has been below a predetermined gap threshold before said detected cross correlation peak. In figure 12 it can be seen, that the absolute value of  $z(i)$  is zero or close to zero as long as the data signals entering the cross correlation means are in the part of the reference symbol, where the phase of the repetition patterns is not inverted in relation

to each other. Hereby, a presynchronisation can be achieved, since the detected correlation peak is only confirmed when the gap in front of the correlation peak is detected.

- 5 In other words, the gap in front of the correlation peak can be used to identify the range for the possible position of the cross correlation peak. Only when the peak threshold detection means 29 detects that the absolute value of  $z(i)$  exceeds the predetermined cross correlation threshold and the gap detection means detects that the absolute value of  $z(i)$  has been below a predetermined gap threshold before the detective cross
- 10 correlation peak, the cross correlation peak is confirmed. In this case, the peak threshold detection means 29 and the gap detection means 30 send respectively a positive information to a determination means 33, which can for example be an AND gate, which outputs the position of the detected cross correlation peak only in case of a positive signal from both of the means 29 and 30. In front of the gap detection means
- 15 30, an averaging means 31 and/or a delay means 32 can be located. The averaging means 31 can for example be a moving average filter to smoothen the absolute value of  $z(i)$ . The filter length preferably corresponds to the length of one repetition pattern of the reference symbol. The delay means 32 preferably provides a delay corresponding to the length of one repetition pattern of the reference symbol. The averaging means 31 as
- 20 well as the delay means 32 can be provided or not depending on the application.

Figure 14 shows an alternative structure to figure 13. In figure 14, the absolute value of  $z(i)$  is supplied to a peak threshold detection means 29 identical to the peak threshold detection means 29 of figure 13. The gap detection means 34 shown in figure 14 detects

25 if the absolute value of  $z(i)$  has been below a predetermined gap threshold before the

detected cross correlation peak and additionally detects if it has been below the predetermined gap threshold during a predetermined gap time. In the contrary to the gap detection means 30 of figure 13, which only checks one time point before the detected cross correlation peak, the gap detection means 34 of figure 14 checks a time  
5 period before the detected cross correlation peak. Identically to figure 13, a determination means 33, which can for example be an AND gate, determines if the output signals from the peak threshold detection means 29 and the gap detection means 34 are both positive and confirms the detected correlation peak to be the required correlation peak for that case. Both structures shown in figures 13 and 14 provide an  
10 increased detection accuracy and reduce the false alarm possibility by combined detection of a presynchronisation and a correlation peak detection. The presynchronisation, i.e. the detection of the gap in front of a detected cross correlation peak enables to detect the range of possible synchronisation peak positions, what can be used to reduce the number of computations needed for the succeeding synchronisations.

15

It has to be noted, that although the cross correlation and synchronisation structures shown in figures 6, 7, 9, 11, 13 and 14 can be implemented in the synchronising means 5 of the receiving apparatus 1 shown in figure 1, these inventive structures can be implemented or used in any other receiving apparatus as long as the scope of the present  
20 invention as defined in the enclosed claims is met.

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### Claims

1. Receiving apparatus (1) for receiving signals in a digital telecommunication system,  
with
- 10 receiving means (2, 3) for receiving a reference symbol comprising at least two  
repetition patterns, whereby one of said at least two repetition patterns is phase shifted  
in relation to the other repetition pattern, and
- synchronising means (5) for synchronising the receiving apparatus (1) in the digital  
telecommunication system using said received reference symbol,
- 15 whereby said synchronising means (5) comprises a cross correlation means (16; 24) for  
cross correlating at least one of said two repetition patterns within a cross correlation  
window having a predetermined length.
2. Receiving apparatus for receiving signals in a digital telecommunication system
- 20 according to claim 1,
- characterized in,**
- that said at least two repetition patterns are the last two repetition patterns in said  
reference symbol.
- 25 3. Receiving apparatus for receiving signals in a digital telecommunication system  
according to claim 1 or 2,

**characterized in,**

that said phase shifted repetition pattern is phase shifted by  $180^\circ$  in relation to said other repetition pattern.

- 5 4. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 1, 2 or 3,

**characterized in,**

- that the phase change information of said two repetition patterns in said reference symbol is used in said synchronising means (5) to detect a cross correlation peak which  
10 indicates the position of the later one of said two repetition patterns.

5. Receiving apparatus for receiving signals in a digital telecommunication system according claim 4,

**characterized in,**

- 15 that said cross correlation means (16) has a cross correlation window length corresponding to the length of one repetition pattern, whereby an output signal of said cross correlation means (16) is supplied to a detection means for detecting the cross correlation peak.

- 20 6. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 5,

**characterized in,**

that said detection means comprises a delay means (20) for delaying the output signal of said cross correlation means (16) by one repetition pattern length and a subtraction

means (21) for subtracting the output signal of said delay means (20) from the output signal of said cross correlation means (16).

7. Receiving apparatus for receiving signals in a digital telecommunication system  
5 according to claim 5 or 6,

**characterized by**

further comprising an averaging means (23) for smoothening the output signal of said detection means.

10 8. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 4,

**characterized in,**

that said cross correlation means (24) has a cross correlation window length corresponding to the length of two repetition patterns for detecting the position of the  
15 cross correlation peak.

9. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 8,

**characterized in,**

20 that a positive and a negative conjugation of an expected repetition is used in said cross correlation means (24) for detecting the position of said cross correlation peak.

10. Receiving apparatus for receiving signals in a digital telecommunication system according to one of the claims 4 to 9,

**characterized in,**

that the output signal of said cross correlation means (24) or said detection means is supplied to a peak threshold detection means (29) and a gap detection means (30; 34), whereby said cross correlation peak detected by said cross correlation means (24) or  
5 said detection means is confirmed or not on the basis of the detection results of said peak threshold detection means and said gap detection means.

11. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 10,

10 **characterized in,**

that said peak threshold detection means (29) detects if the output signal of the cross correlation means (24) or the detection means exceeds a predetermined cross correlation peak threshold and the gap detection means (30; 34) detects if the output signal of said cross correlation means (24) or the detection means has been below a predetermined  
15 gap threshold before said detected cross correlation peak.

12. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 11,

**characterized in,**

20 that the output signal of said cross correlation means (24) or said detection means is delayed in a delay means before being supplied to said gap detection means.

13. Receiving apparatus for receiving signals in a digital telecommunication system according to claim 11,

**characterized in,**

that said gap detection means (34) additionally detects if the output signal of said cross correlation means (24) or the detection means has been below said predetermined gap threshold during a predetermined gap time.

5

14. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system, comprising the steps of

receiving a reference symbol comprising at least two repetition patterns, whereby one of said at least two repetition patterns is phase shifted in relation to the other repetition

10 pattern, and

synchronising the receiving apparatus in the digital telecommunication system using said received reference symbol, whereby at least one of said two repetition patterns is cross correlated within a cross correlation window having a predetermined length.

15 15. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 14,

**characterized in,**

that said at least two repetition patterns are the last two repetition patterns in said reference symbol.

20

16. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 14 or 15,

**characterized in,**

that said phase shifted repetition pattern is phase shifted by  $180^\circ$  in relation to said  
25 other repetition pattern.

17. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 14, 15 or 16,

**characterized in,**

- 5 that the phase change information of said two repetition patterns in said reference symbol is used in said synchronising step to detect a cross correlation peak which indicates the position of the later one of said two repetition patterns.

18. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according claim 17,

**characterized in,**

that said cross correlation window length corresponds to the length of one repetition pattern, whereby a detecting step after in said cross correlation step is performed for detecting the cross correlation peak.

15

19. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 18,

**characterized in,**

- that in said detecting step a delay step for delaying the output signal of said cross correlation step by one repetition pattern length and a subtraction step for subtracting the output signal of said delay step from the output signal of said cross correlation step are performed.

20. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 18 or 19,

25

**characterized by**

further comprising an averaging step for smoothening the output signal of said detection step.

- 5 21. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 17,

**characterized in,**

that said cross correlation window length corresponds to the length of two repetition patterns for detecting the position of the cross correlation peak.

10

22. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 21,

**characterized in,**

that a positive and a negative conjugation of an expected repetition pattern is used in  
15 said cross correlation step for detecting the position of said cross correlation peak.

23. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to one of the claims 17 to 22,

**characterized in,**

- 20 that after said cross correlation step or said detection step a peak threshold detection step and a gap detection step are performed, whereby said cross correlation peak detected in said cross correlation step or said detection step is confirmed or not on the basis of the detection results of said peak threshold detection step and said gap detection step.

24. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 23,

**characterized in,**

- 5 that in said peak threshold detection step it is detected if the output signal of the cross correlation step or said detection step exceeds a predetermined cross correlation peak threshold and in said gap detection step it is detected if the output signal of said cross correlation step or said detection step has been below a predetermined gap threshold before said detected cross correlation peak.

10

25. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 24,

**characterized in,**

- that the output signal of said cross correlation step or said detection step is delayed in a  
15 delay step before said gap detection step is performed.

26. Synchronising method for synchronising a receiving apparatus in a digital telecommunication system according to claim 24,

**characterized in,**

- 20 that in said gap detection step it is additionally detected if the output signal of said cross correlation step or said detection step has been below said predetermined gap threshold during a predetermined gap time.

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### Abstract

The present invention relates to a receiving apparatus (1) for receiving signals in a digital telecommunication system and a synchronising method for synchronising such a receiving apparatus (1). The receiving apparatus (1) comprises receiving means (2, 3) for receiving a reference symbol comprising at least two repetition patterns, whereby one of said at least two repetition patterns is phase-shifted in relation to the other repetition pattern, and synchronising means (5) for synchronising the receiving apparatus (1) in the digital telecommunication system using said received reference symbol. The synchronising means (5) comprises a cross correlation means (16, 24) for cross correlating at least one of said two repetition patterns within a cross correlation window having a predetermined length. Hereby, the performance and the accuracy of a cross correlation peak detection can be enhanced for improved synchronisation.

20 (Figure 6)

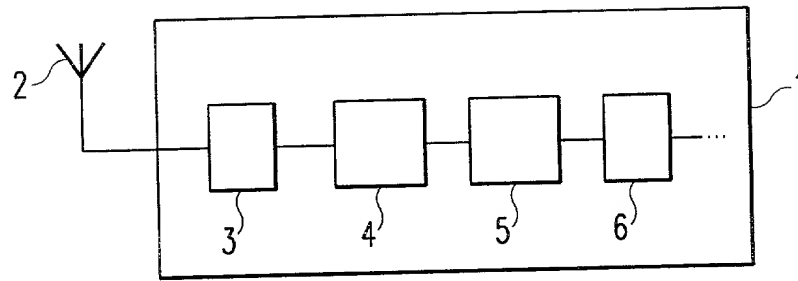


Fig. 1

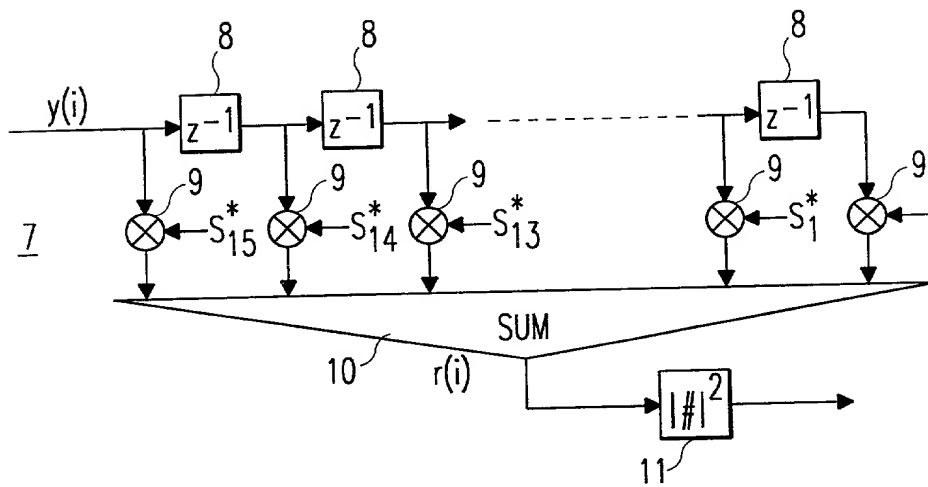


Fig. 2

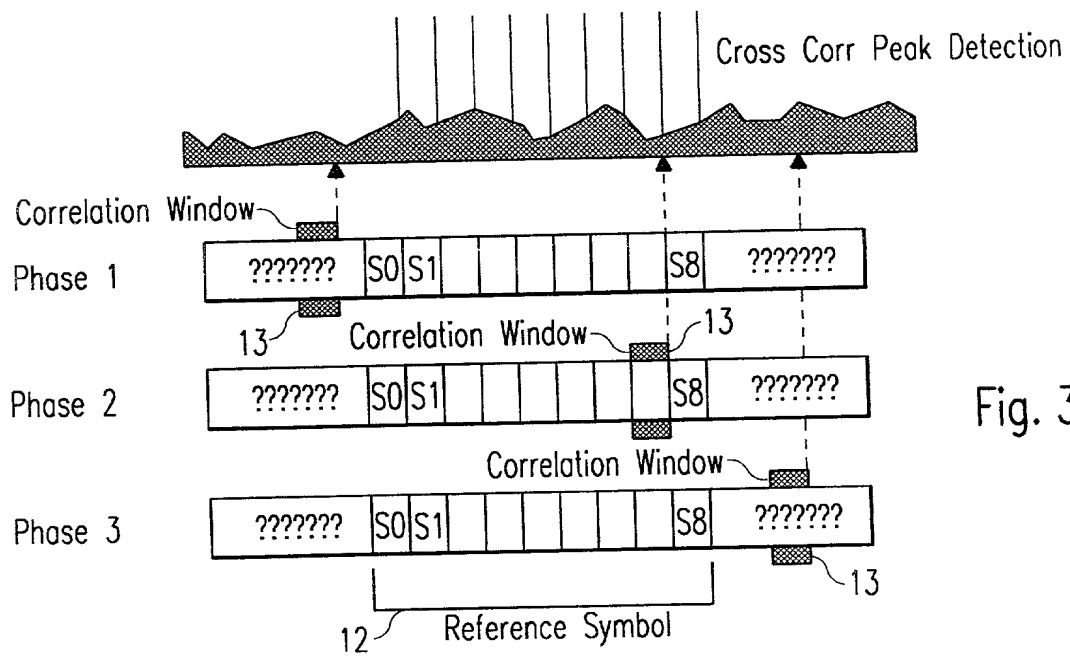


Fig. 3

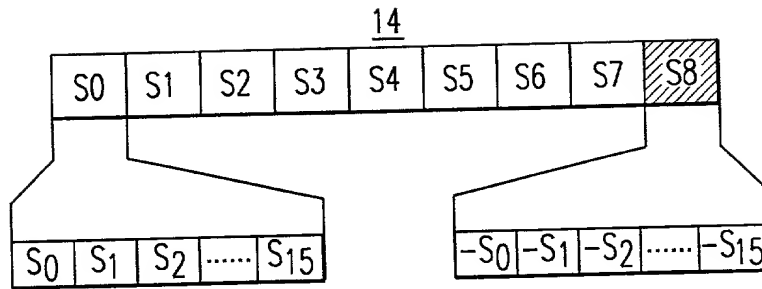


Fig. 4

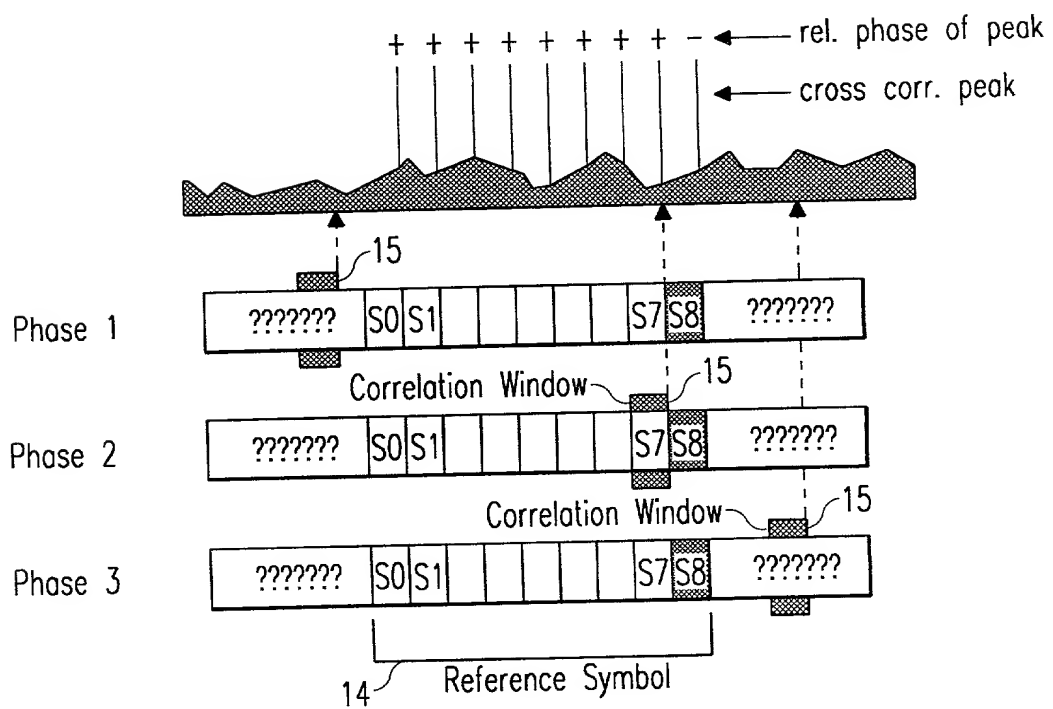
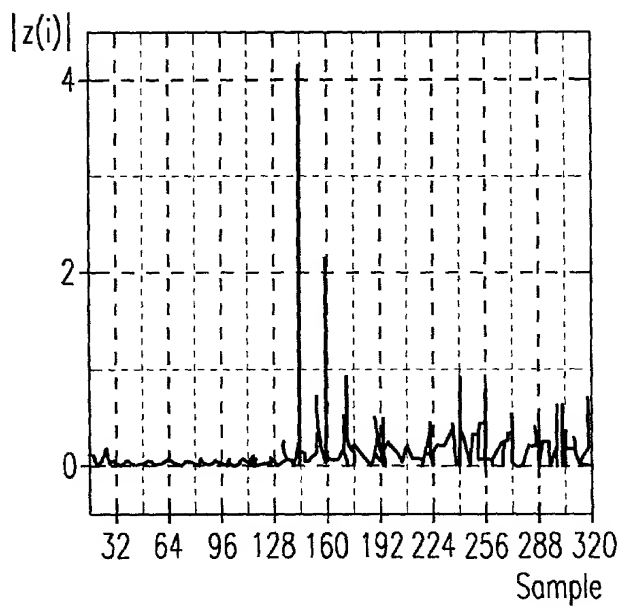
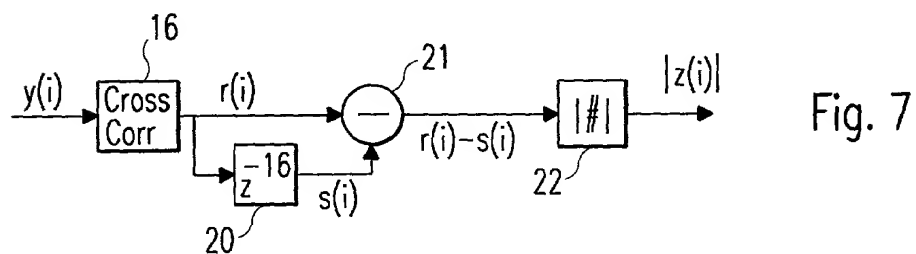
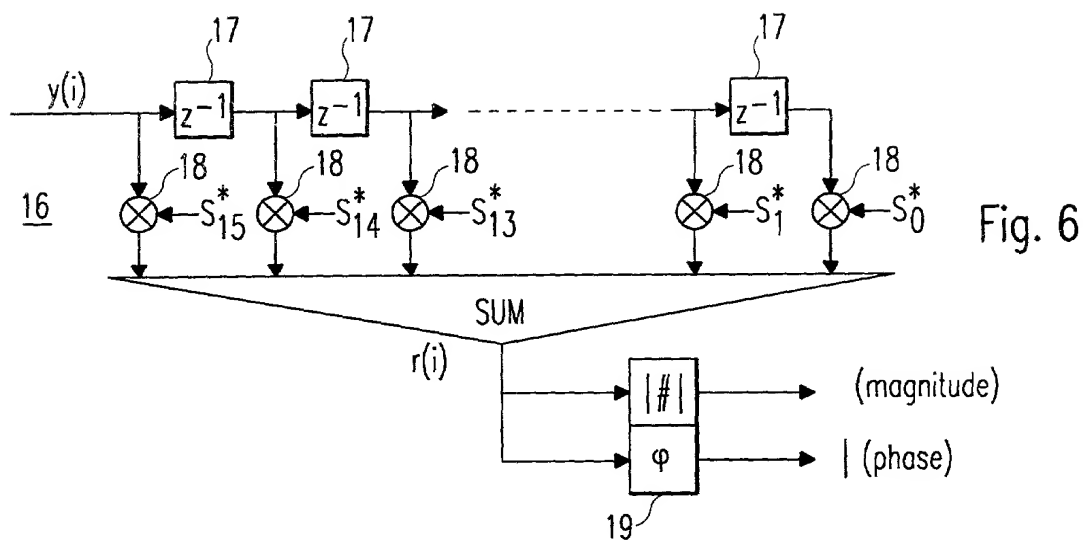


Fig. 5



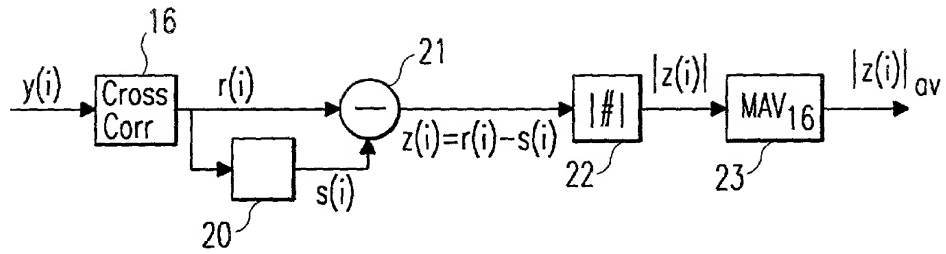


Fig. 9

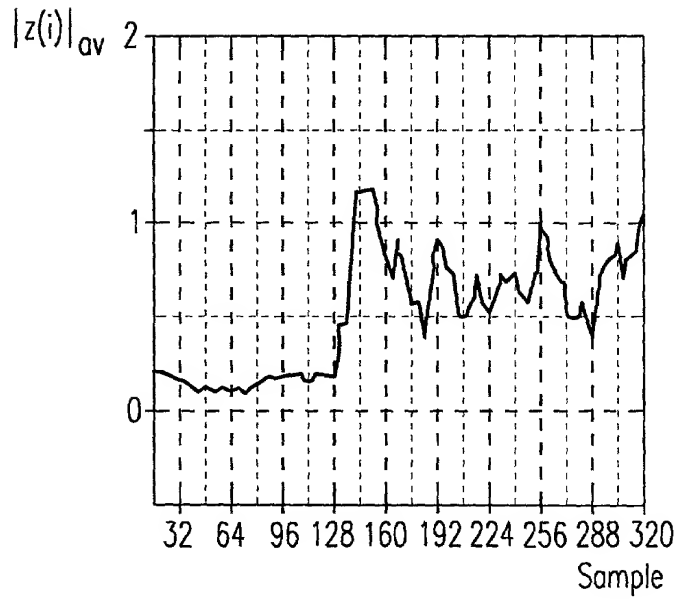


Fig. 10

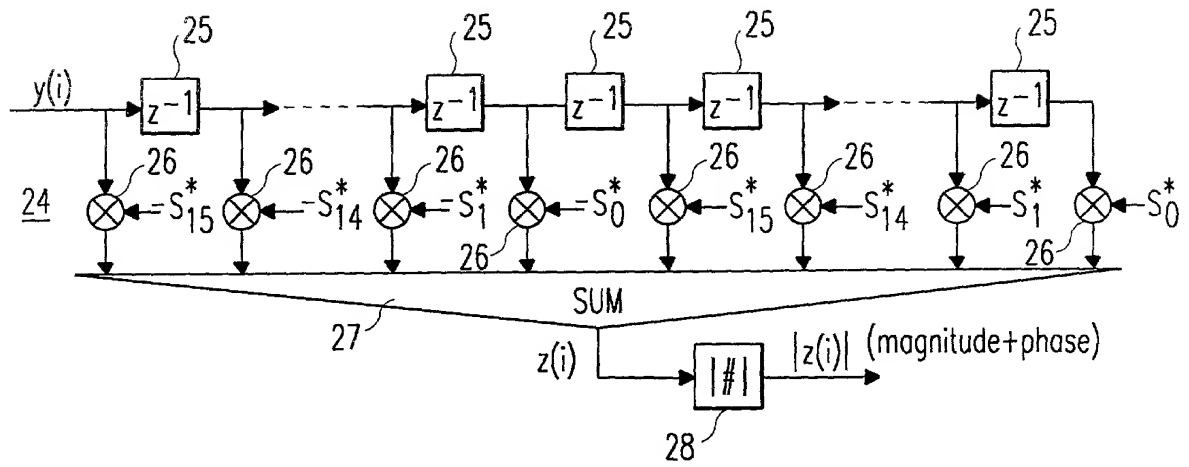


Fig. 11

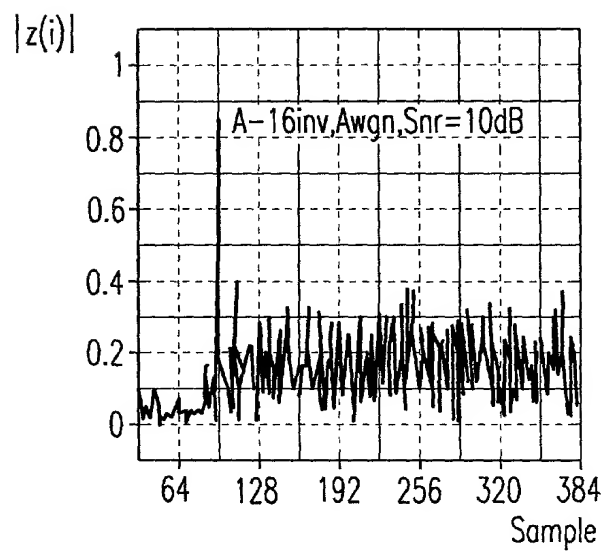


Fig. 12

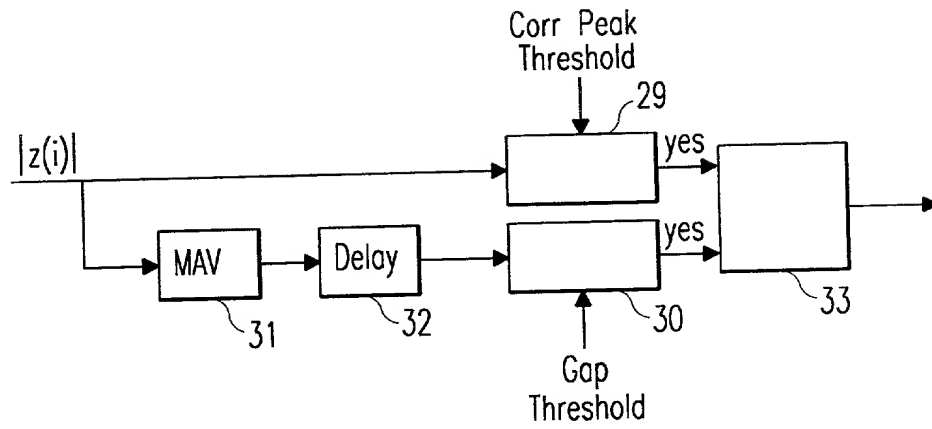


Fig. 13

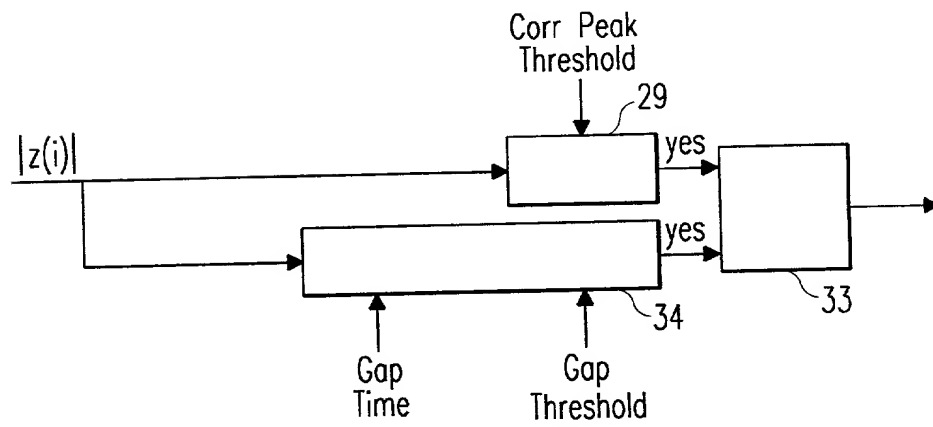


Fig. 14

**DECLARATION FOR PATENT APPLICATION (JOINT OR SOLE)**

**(Under 37 CFR § 1.63; with Power of Attorney)**

**FROMMER LAWRENCE & HAUG LLP**

FLH File No. 450117-02428

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention ENTITLED:

**RECEIVING APPARATUS AND SYNCHRONISING METHOD FOR A DIGITAL TELECOMMUNICATION SYSTEM**

the specification of which

X is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_,

with amendment(s) through \_\_\_\_\_ (if applicable, give dates).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>	<u>[List additional applications on separate page]:</u>	<u>Priority Claimed:</u>
<u>Number:</u> 99 103 546.0	<u>Country:</u> Europe	<u>Filed (Day/Month/Year):</u> 24 February 1999
		<u>Yes</u> <u>No</u> X

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Prior U.S. Application(s)</u>	<u>[List additional applications on separate page]:</u>
<u>Appln. Ser. Number:</u>	<u>Filed (Day/Month/Year):</u>
	<u>Status (patented, pending, abandoned):</u>

I hereby appoint WILLIAM S. FROMMER, Registration No. 25,506, and DENNIS M. SMID, Registration No. 34,930 or their duly appointed associate, my attorneys, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to file continuation and divisional applications thereof, to receive the Patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith, and specify that all communications about the application are to be directed to the following correspondence address:

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745 Fifth Avenue  
New York, New York 10151

Direct all telephone calls to:  
(212) 588-0800  
to the attention of:  
WILLIAM S. FROMMER

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Citizenship: Germany

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Citizenship: Germany

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

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Note: In order to qualify for reduced fees available to Small Entities, each inventor and any other individual or entity having rights to the invention must also sign an appropriate separate "Verified Statement (Declaration) Claiming [or Supporting a Claim by Another for] Small Entity Status" form [e.g. for Independent Inventor, Small Business Concern, Nonprofit Organization, individual Non-Inventor].

Note: A post office address must be provided for each inventor.